

DEPARTMENT OF CORRECTIONS AND REHABILITATION CORRECTIONS ADMINISTRATION POLICY AND PROCEDURES

POLICY NO .: COR.10.E.04

SUPERSEDES (Policy No. & Date): COR.10.1E.04 (04/07/20)

SUBJECT:

INITIAL HEALTH ASSESSMENT

Page 1 of 10

1.0 PURPOSE

The purpose of this policy is to ensure that incarcerated individuals receive an initial health assessment in an effort to address any chronic, urgent, or emergent health care needs in a timely and efficient fashion.

2.0 SCOPE

This policy and procedure shall apply to all correctional facilities, their assigned personnel, and contract staff.

3.0 REFERENCES, DEFINITIONS & FORMS

- .1 References
 - 29 U.S.C. §701 et seq., Rehabilitation Act of 1973, Section 504. а.
 - Title II of the Americans with Disabilities Act of 1990 (ADA), 42 U.S.C. b. §12131-12134.
 - 28 CFR Part 35, Americans with Disabilities Act of 1990, Title II C. Regulations, Part 35, Nondiscrimination on the Basis of Disability in State and Local Government Services.
 - d. Department of Corrections and Rehabilitation, Policy and Procedures, COR.10.1B.07, Communication of Patients' Health Needs.
 - Department of Corrections and Rehabilitation, Policy and Procedures, e. COR.10.1E.02, Receiving Screening.
 - f. Department of Corrections and Rehabilitation, Policy and Procedures, COR.10.1E.03, Transfer Screening.
 - Department of Corrections and Rehabilitation, Policy and Procedures, g. COR.14.27, Inmates with Disabilities.
 - DOH TB Clearance Manual. Department of Health, Communicable Disease h. and Public Health Nursing Division, Tuberculosis Control Branch (July 18, 2017).

		SUI	BJECT:	POLICY NO.: COR.10.E.04
COR			INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
P & P N	1			Page 2 of 10
	i	i.	Hawaii Administrative Rules, Title 11, Chapter 164.2, Tube	erculosis.
	j	j.	Hawaii Revised Statutes (HRS) §353-10, Intake service co	<u>enters</u> .
		k.	Hawaii Revised Statutes (HRS) §489, <u>Discrimination in Pu</u> <u>Accommodations</u> .	lblic
		I.	<u>Standards for Health Services in Prisons</u> . National Commi Correctional Health Care, (2018).	ission on
		m.	<u>Standards for Health Services in Jails</u> . National Commissi Correctional Health Care, (2018).	on on
		n.	Standards for Mental Health Services in Correctional Faci Commission on Correctional Health Care, (2015).	<u>lities</u> . National
	.2	<u>Defir</u>	nitions	
		a.	Clinically Significant Findings: Any deviation from the norr significantly impacts the health and safety of the patient.	nal that
e e que en este construir se se		b.	Health Assessment: The process whereby an individual's evaluated, including questioning the patient about sympto	
		c.	Physical Examination: An objective, hands-on evaluation of by a qualified health care professional (physician, physician nurse practitioner, or nurse), which involves the inspection auscultation, and/or percussion of a patient's body to deter presence or absence of physical signs of illness.	an assistant, n, palpation,
		d.	Qualified Health Care Professionals: Physicians, physician nurse practitioners, nurses, dentists, mental health profes others who by virtue of their education, credentials, and ex permitted by law to evaluate and care for patients.	sionals, and
		e.	Receiving Screening: A process of structured inquiry and intended to identify potential emergent or urgent health can newly incarcerated individuals and to ensure that incarcer with known illnesses and those on medications are identific assessment and continued treatment.	are issues among rated individuals

	SUBJECT:	POLICY NO.: COR.10.E.04
COR	INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
P&PM		Page 3 of 10

- .3 <u>Forms</u>
 - a. DCR 0497, Health Status Classification Report.
 - b. DCR 0498, Medical/Mental/Dental Health Intake Screening.
 - c. DCR 0574, Authorization to Release Medical Information.
 - d. DCR 8772, Notice of Rights for Inmates with Disabilities.

4.0 POLICY

- .1 The Responsible Health Authority shall implement a process to ensure that qualified health care professionals identify the health needs of incarcerated individuals and establish a plan for meeting those needs.
- .2 The Medical Director, Chief Nursing Officer, and Responsible Physician shall determine the components of the initial health assessment.

5.0 PROCEDURES

In the jail setting, the Responsible Health Authority shall implement one of two options: a) Full Population Assessment, which involves administering the initial health assessment to all incarcerated individuals; or b) Individual Assessment When Clinically Indicated, which involves administering the initial health assessment to only the incarcerated individuals determined to be at high risk for significant health problems.

In the prison setting, the Responsible Health Authority shall implement a process to ensure that the initial health assessment has been or will be administered to all incarcerated individuals, as soon as possible but no later than seven (7) calendar days upon admission to the facility.

.1 Jail: Full Population Assessment

- a. A qualified health care professional shall review the results of the receiving screening [DCR 0498] within fourteen (14) calendar days of admission to the facility.
- b. A qualified health care professional shall administer the initial health assessment to all incarcerated individuals, who have not received an initial

	SUBJECT:	POLICY NO.: COR.10.E.04	
COR	INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024	
P&PM		Page 4 of 10	

health assessment within the last twelve (12) months, as soon as possible, but no later than fourteen (14) calendar days, after admission to the facility.

- 1. When an initial health assessment has been administered within the last twelve (12) months, and the results of the new receiving screening [DCR 0498] show no change in health status, the administration of the initial health assessment may be deferred. The qualified health care professional conducting the review shall note the completion of the review in the health record.
- 2. When an initial health assessment has been administered within the last twelve (12) months, and the results of the new receiving screening [DCR 0498] show a change in health status, the initial health assessment shall be administered by a qualified health care professional as soon as possible, but no later than fourteen (14) calendar days after admission to the facility.
- c. The initial health assessment shall include, at a minimum:
 - 1. A qualified health care professional collecting additional information to complete the medical, dental, and mental health histories, including any follow-up from abnormal findings obtained during the receiving screening and subsequent encounters.
 - 2. A qualified health care professional recording of vital signs, including height and weight.
 - 3. A physical examination (as indicated by the incarcerated individual's gender, age, and risk factors), performed by a physician, physician assistant, nurse practitioner, or appropriately trained registered nurse (the training shall be approved, and provided by the responsible physician).
 - 4. A screening test for latent tuberculosis (e.g., PPD, chest X-ray, laboratory test), unless completed prior to the initial health assessment).
 - 5. A screening test for Hepatitis C, unless completed prior to the initial health assessment.

ſ			SUBJECT: POLICY NO COR.10	
	COR		INITIAL HEALTH ASSESSMENT	DATE:
l	P& P M		Page 5	of 10
			 Screening tests determined by the Medical Director based on U. Preventative Services Task Force and other professional organization's recommendations. 	S.
			7. A vision screening or eye test.	
			8. Administration of immunizations, when appropriate.	
			d. A qualified health care professional shall provide incarcerated individu with the Notice of Rights for Inmates with Disabilities form [DCR 8772] an incarcerated individual has difficulty understanding DCR 8772, a qualified health care professional shall assist the incarcerated individu with understanding the information included in DCR 8772.]. If
			e. A qualified health care professional shall complete the Authorization to Release Medical Information form (DCR 0574) with the incarcerated individual to obtain past and pertinent health records, including health records from community medical, mental health, and substance abuse treatment providers.	
			f. If a provider is not conducting the initial health assessment, the qualifi health care professional conducting the initial health assessment shal all abnormal findings (i.e., history and physical, screening, and laboration to a provider for review.	l refer
			g. A qualified health care professional shall refer newly identified mental health and dental problems to qualified mental health professionals ar dental staff, respectively.	nd
			h. A qualified health care professional shall integrate specific problems identified from the initial health assessment into the problem list.	
			 A qualified health care professional shall develop diagnostic and therapeutic plans for each problem as clinically indicated. 	
			j. A qualified health care professional shall complete the Health Status Classification Report [DCR 0497] in accordance with COR.10.1B.07 (Communication on Patients' Health Needs).	
	.2	?	Jail: Individual Assessment When Clinically Indicated	
			a. The facility must meet the following conditions.	

	SUBJECT:	POLICY NO.: COR.10.E.04
COR	INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
P&PM		Page 6 of 10

- 1. The facility has twenty-four (24) hours, seven (7) days a week, on-site health staff coverage.
- 2. Only licensed health care personnel can administer a comprehensive receiving screening to incarcerated individuals.
- 3. The comprehensive receiving screening includes all elements of the receiving screening and the following:
 - a) Further inquiry into past medical history and symptoms of chronic diseases.
 - b) Finger stick on individuals with diabetes.
 - c) Vital signs, including pulse, respiration, blood pressure, and temperature.
 - d) Further inquiry into medication and dosages where possible.
 - e) A screening test for latent tuberculosis (e.g., PPD, chest X-ray, laboratory test).
- b. When incarcerated individuals have been identified with clinically significant findings as the result of a comprehensive receiving screening, qualified health care professionals shall administer the initial health assessment to incarcerated individuals, who have not received an initial health assessment within the last twelve (12) months, as soon as possible, but no later than two (2) working days after admission to the facility.
 - 1. When an initial health assessment has been administered within the last twelve (12) months and the results of the new comprehensive receiving screening show no change in health status, the administration of the initial health assessment may be deferred. The qualified health care professional conducting the review shall note the completion of the review in the health record.
 - 2. When an initial health assessment has been administered within the last twelve (12) months and the results of the new comprehensive receiving screening show a change in health status, the initial health assessment shall be administered by a qualified health care

	SU	JBJEC	T:	POLICY NO.: COR.10.E.04
COR			INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
P& P M				Page 7 of 10
			professional as soon as possible, but no later than to days after admission to the facility.	wo (2) working
	C.	The	initial health assessment shall include, at a minimum	:
		1.	A review of comprehensive receiving screening resu	lts.
		2.	A qualified health care professional collecting addition complete the medical, dental, and mental health hist any follow-up from abnormal findings obtained during comprehensive receiving screening and subsequent	ories, including g the
		3.	A qualified health care professional recording of vita height and weight.	signs, including
		4.	A physical examination (as indicated by the incarcer gender, age, and risk factors), performed by a provid	
		5.	Laboratory and/or diagnostic tests for disease, such patients with asthma/COPD, and blood work includir Comprehensive Metabolic Profile and an A1C for dia	ng a
		6.	A screening test for Hepatitis C, unless completed place health assessment.	rior to the initial
		7.	Screening tests determined by the Medical Director Preventative Services Task Force and other profess organization's recommendations.	
		8.	A vision screening or eye test.	
		9.	Administration of immunizations, when appropriate.	
	d.	with an ir qual	ualified health care professional shall provide incarcer the Notice of Rights for Inmates with Disabilities form ncarcerated individual has difficulty understanding DC lified health care professional shall assist the incarcer understanding the information included in DCR 8772	[DCR 8772]. If R 8772, a ated individual
	e.	Rele	ualified health care professional shall complete the Au ease Medical Information form (DCR 0574) with the in vidual to obtain past and pertinent health records, incl	carcerated

		SU	BJECT:	POLICY NO.: COR.10.E.04
COR P&PM			INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
				Page 8 of 10
			records from community medical, mental health, and su treatment providers.	ubstance abuse
		f.	A qualified health care professional shall refer newly id health and dental problems to qualified mental health p dental staff, respectively.	
		g.	A qualified health care professional shall integrate species identified from the initial health assessment into the pro-	•
		h.	A qualified health care professional shall develop diagr therapeutic plans for each problem as clinically indicate	
		i.	A qualified health care professional shall complete the Classification Report [DCR 0497] in accordance with C (Communication on Patients' Health Needs).	
	.3	<u>Pris</u>	on: Initial Health Assessment Completed at Sending Fac	<u>sility</u>
	.4	a.	A qualified health care professional shall review the res screening [DCR 0498] and the initial health assessmen sending facility within seven (7) calendar days of admis	t conducted at the
		b.	A qualified health care professional shall adhere to the procedures for In-State Intra-System Transfers, in accord COR.10.1E.03 to ensure continuity of care.	
		<u>Pris</u>	on: Initial Health Assessment NOT Completed at Sendir	<u>g Facility.</u>
		a.	A qualified health care professional shall review the res screening [DCR 0498] within seven (7) calendar days o facility.	÷
		b.	A qualified health care professional shall administer the assessment to all incarcerated individuals, who have n health assessment at the sending facility, as soon as p than seven (7) calendar days after admission to the fac	ot received an initial ossible, but no later
		c.	The initial health assessment shall include, at a minimu	ım:
			 A qualified health care professional collecting add complete the medical, dental, and mental health h 	

	SU	BJECT:	POLICY NO.: COR.10.E.04
COR		INITIAL HEALTH ASSESSMENT	EFFECTIVE DATE: January 01, 2024
P&PM			Page 9 of 10
		any follow-up from abnormal findings obtained durir screening and subsequent encounters.	ng the receiving
		2. A qualified health care professional recording of vita height and weight.	al signs, including
		3. A physical examination (as indicated by the incarce gender, age, and risk factors), performed by a phys assistant, nurse practitioner, or appropriately trained (the training shall be approved and provided by the physician).	ician, physician I registered nurse
		4. A screening test for latent tuberculosis (e.g., PPD, or laboratory test), unless completed prior to the initial assessment).	
		5. A screening test for Hepatitis C, unless completed p health assessment.	prior to the initial
		6. Screening tests determined by the Medical Director Preventative Services Task Force and other profess organization's recommendations.	
		7. Vision screening or eye test.	
		8. Administration of immunizations, when appropriate.	
		9. A pelvic examination, or referral for a pelvic examin without Pap smear, as clinically indicated.	ation, with or
	d.	A qualified health care professional shall provide incarce with the Notice of Rights for Inmates with Disabilities forr an incarcerated individual has difficulty understanding De qualified health care professional shall assist the incarce with understanding the information included in DCR 8772	n [DCR 8772]. If CR 8772, A rated individual
e. A qualified health care professional shall complete the Authorizati Release Medical Information form (DCR 0574) with the incarcerat individual to obtain past and pertinent health records, including he records from community medical, mental health, and substance a treatment providers.		ncarcerated luding health	

		SUBJECT:		POLICY NO.: COR.10.E.04
COF		INITIAL HEALTH ASSES	SMENT	EFFECTIVE DATE: January 01, 2024
P & F	ΡM			Page 10 of 10
		If a provider is not conducting the health care professional conductin all abnormal findings (i.e., history to a provider for review.	g the initial health asses	ssment shall refer
	g. A qualified health care professional shall refer newly identified menta health and dental problems to qualified mental health professionals a dental staff, respectively.			
 A qualified health care professional shall integrate specific pro identified from the initial health assessment into the problem line 				
	i. A qualified health care professional shall develop diagnostic and therapeutic plans for each problem as clinically indicated.			tic and
	j. A qualified health care professional shall complete the Health Status Classification Report [DCR 0497] in accordance with COR.10.1B.07 (Communication on Patients' Health Needs).			
	APPF	OVAL RECOMMENDED:		
	<u> </u>	UNAL JAN O	2024	
	Depu	ty Director for Corrections Da	le	
	APPF	ROVED:		
		26 LAND	4 2021	

 JAN 0 1 2024

 DIRECTOR
 Date

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HEALTH STATUS CLASSIFICATION REPORT

NAME:	SID:	DOB: FACIL	ITY:
PURPOSE:	Initial Health AssessmentInitial Mental Health Screen		
Check all th	nat apply		
	Special Health Cana Needs identified at	this time	
	Special Health Care Needs identified at	uns ume.	
□ Spe	cial Health Care Needs:		
B. 1	Housing Single Cell Bottom Bunk Bottom Tier ADA Accessible Housing Wheelchair Room Other	an minutes/hours D Sitting hours per Standing hours per Walking hours per Running hours per Climbing hours per	day day day day day
C. 5	 Other	 Chronic Health Condition Oxygen Medical Aid Cognitive and/or Mental Heal Mental Health Aid Other Other 	

HEALTH STATUS CLASSIFICATION REPORT

D. Facility

- □ Elevation less than 4,000 feet above mean sea level
- □ 24-hour On-Site Nursing
- □ Ambulance Response within 30 minutes
- □ Availability of On-Site Infirmary-Level Care
- □ Availability of On-Site Sheltered Housing
- □ Availability of On-Site Mental Health Residential Unit
- Availability of Community Specilty Provider (specify type of specialty):
- □ Level or Paved Terrain
- □ Other ____

E. Program Assignments and Seclection

- □ Assistance with Reading/Writing
- □ Language Interpreter
- □ Closed Captioning on Television
- □ Other____

F. Disciplinary Measures

- □ No Waist Restraints
- □ Other _____

G. Transport to/from Outside Appointments

- \Box Accessible Van
- □ Other _____

H. Clothing or Appearance

- □ Long Sleeve
- □ Prescription Sunglasses
- □ Other

Comments:

Signature Health Care Staff /Title Date

Original: Medical Record Copy: Inmate Classification Office Copy: Facility Classification Officer Copy: Facility ADA Coordinator

II DEPARTMENT OF PUBLIC SAFETY MEDICAL/DENTAL/MENTAL HEALTH INTAKE SCREENING

ADMISSION DATE:		DATE:FACILITY:	_PRIOR ADM TO THIS FACILITY $Y \square N \square$			
NAMI	E:					
SID:		DOB: _	SEX:			
YES	<u>NO</u>					
			r or other custodial agency report indications that			
		the inmate is a medical or mental health	or suicide risk?			
		BSERVATIONS:				
			njuries? (Cuts, bruises, swollen or deformed areas)			
			s and/or where he is. (If yes, ask about recent head injury.)			
			ss? (Not alert, non responsive to verbal commands, slow, slurred			
	_	or incoherent speech, excessive sleepiness.)				
			vement? (Limping can't move a limb or joint, obvious physical			
	_	deformities or complaints of pain on movem				
		Are there any signs of body parasites? (I				
			Blue lips, shortness of breath, hyperventilation, hacking			
	_	cough, flushed skin, rashes, orange/yellow s				
			on or does the inmate appear to be under the			
	_	influence of drugs? (Smells like alcohol, s				
		Are needle marks, "needle tracks", or a				
	TIONS		not self administer. No need to expand on yes answers health			
re st		btain history based on a yes check mark)				
		Do you have any allergies?				
		Have you suffered a head injury within				
			ou ever had an infectious or communicable disease (e.g. Hepatitis C, TB)			
			fillness? (e.g., chronic cough, coughing up blood,			
			e pounds per week, loss of appetite, fever, night sweats, shortness			
	_	of breath or fast breathing or any pain.) (Not	e all that apply)			
		Are you under a doctor's care?				
		Are you currently taking any medication				
		Do you have any medical conditions that	t limit your movement?			
		Do you have any diet restrictions?				
		Have you had an organ removed or an o				
			id any physical limitations? (Including eyeglasses,			
		dentures, contact lens, hearing aid artificial				
		Do you have any dental problems? (Too				
		Have you ever been the victim of physic				
		Have you ever been enrolled in special of				
		Have you recently been discharged from				
		Have you ever suffered alcohol or drug				
		Do you currently use any drugs or alcoh	ol? (If so, what and when did you last use?)			
EMA	LES:					
		Are you pregnant?				
		Do you have any current gynecological	problems?			
PD I	DATE:	DATE READ:	RESULTS:X-ray Results:			
sg. D	ispositior	1: Same Day Sched. Appt. with Whot	m/Date:			
-	-	Date/T				
		(Name)	·			
REVI	EWED	BY RN STAFF:	Date/Time			

STATE OF HAWAII DEPARTMENT OF PUBLIC SAFETY **VERBALIZED, OBSERVABLE OR OTHER DOCUMENTED SIGNS OF MH/SUICIDE RISK?:**

<u>YES</u> NC	
Any 3 positiv	es to questions/observations #1 - #7 requires an SRE.
1. 🗆 🗆	Strong feelings of remorse or shame?
2.	Passive/withdrawn?
3. 🗆 🗆	Is the nature of the crime high profile (media or celebrity status in community, etc.)?
4. 🗆 🗆	Have you recently experienced a significant loss? (Relationship, death in family, job, etc.)?
5.	Do you <i>currently</i> feel like you have to talk or move more slowly than you usually do?
6. 🗆 🗆	Have there <i>currently</i> been a few weeks when you felt like you were useless or sinful?
7. 🗆 🗆	Has a family member or close friend ever attempted or committed suicide?
	tive to questions/observations #8 - #13 (gray shade) requires an SRE.
8. 🗆 🗆	Verbalizing hopelessness or extreme fear
9.	Evidence of self-mutilation
10. □	If in jail or prison before was inmate ever placed on suicide or safety watch? (from OT alert)
11. 🗆 🗆	In the past have you ever tried to hurt or kill yourself?
	When Why How ?
	When Why How?
10	WhenWhyHow?
12. □	Are you thinking about hurting or killing yourself now?
13.	Question 1 page 1 (Arresting or Transporting Officer indicated Suicide Risk)
-	sponse on any items #17 – #28 <u>requires referral</u> to the Mental Health Section. Loud/obnoxious behavior?
14. □ □ □ 15. □ □	Uncooperative behavior?
15. □ □ □	Aggressive behavior/ Restless/over reacting?
10. □ □ □ □	Bizarre behavior, confused or incoherent?
17.	Have you ever received mental health treatment in a correctional facility?
10. □ □ □	Are you receiving counseling from a mental health professional or treatment center?
19. □ □ 20. □ □	Have you <u>ever</u> been hospitalized for an emotional or mental health condition?
20.	Are you <u><i>currently</i></u> taking any medication for an emotional or mental health disorder?
21. □ □ 22. □ □	Have you or your friends noticed that you are <u>currently</u> much more active than usual?
22. □ □ 23. □ □	Do you hear things or see things others cannot see or hear?
23. □ □ 24. □ □	Do you <i>currently</i> believe that someone can control your mind by putting thoughts into your head or
21	taking thoughts out of your head? or other people know your thoughts and can read your mind?
25. 🗆 🗆	Have you ever or are you currently thinking about harming another person?
-	g questions are to be completed following a database search by the Intake Service Center.
26. 🗆 🗆	Is the inmate a client of the Adult Mental Health Division? (ISC to check Data Base)
27. □ □	Has the inmate ever been on Conditional Release? (ISC to check CJIS or OT)
28. □ □	Has the inmate ever presented for a 704-404 Fitness Examination? (ISC to check CJIS or OT)
COMMEN	TS:

Inmate's Name	Signature Date/Time:	Date	
ISC Disposition : Nurse Called:		Med. Refer. MH Refer	
MH Emer. Called:(Name) Date/Time:	□ Gen. Pop □ Other	
INTERVIEWER/TITLE		Date/Time	
MH Disposition: Same Day	Appt. Sched with Whom/Date:		
□ MH Module □ Gen Pop	Other		
REVIEWED BY MH STAFF_		Date/Time	



STATE OF HAWAII DEPARTMENT OF PUBLIC SAFETY

AUTHORIZATION TO RELEASE MEDICAL INFORMATION

To:		FROM:	
(DR./Facility in Possession of Record)		(MD/Person/Facility Making the Request)	
(Address)		(Address)	
(City)	(State) (Zip Code)	(City)	(State) (Zip Code)
I authorize the re	elease of the following protected hea	lth information	
for the purpose of	of my (select one):		
Cont	inued health care		

I understand I have the right to revoke this authorization by writing a letter to the requestor anytime prior to the actual release of information. I understand that this authorization is valid for one year from the "Date of Signature." I will not hold the person/agency in possession of my protected health information liable for the further dissemination of the information once it is released to the requestor. Treatment, payment, continued enrollment in a health plan, eligibility benefits, coercion, or remuneration are not conditions of this authorization.

(Print Name of Patient/Representative)

other:

(Signature of Patient/Representative

(Date of Birth)

(Date of Signature)

My signature below indicates that I also authorize the release of the following protected health information: (Initial all that apply):

____ Mental health/behavioral health/psychiatric care/ psychiatric treatment records

Alcohol/substance abuse treatment records

_____ HIV screening and diagnostic results/treatment records

I understand the sensitive nature of the information and that if the protected health information is entered as evidence in a court case they become public record.

(Signature of Patient/Agent)

(Date of Signature)

(Signature of Witness)

(Date of Signature)

Original: Person or Facility in Possession of the PHI Yellow: Medical Record Pink: Inmate

PSD 0574 (10/22)

CONFIDENTIAL



DEPARTMENT OF PUBLIC SAFETY

NOTICE OF RIGHTS FOR INMATES WITH DISABILITIES



The Right to a Reasonable Modification or Accommodation

The Hawaii Department of Public Safety (HDPS) is committed to providing individuals with disabilities equal opportunity to access its services, programs, and activities, in accordance with its obligations under the Americans with Disabilities Act (ADA). If you have a qualified disability, you have the right to request a reasonable modification or accommodation in order to have equal access to HDPS programs, services, and activities. To comply with the ADA, HDPS will, among other things:

- 1. Make reasonable modifications in policies, practices, and/or procedures,
- 2. Remove barriers to access HDPS programs, services, and activities, and/or
- 3. Provide auxiliary aids and services.

HDPS does not need to provide reasonable modifications or accommodations that HDPS can demonstrate would fundamentally alter the nature of its services, programs or activities.

How to Request a Modification or Accommodation

If you want or need a reasonable modification or accommodation, ask your case manager, the Facility ADA Coordinator, or any staff member for a request form to fill out (*Form PSD 8773, Request for Accommodation/Modification*). If you need help filling out the form, you may ask a staff member to assist you.

How to File a Grievance

You have the right to file a grievance related to disability discrimination, including if you are denied a request for reasonable modification or accommodation, in accordance with HDPS ADA Grievance Procedure. The Statewide ADA Corrections Coordinator Leanne Gillespie and your ADA Facility Coordinator [Insert Name] will review your grievance and respond accordingly.

FACILITY ADA COORDINATOR:

[Insert Name]

PSD 8772 (04/2019)